



12.03.00

EV554746911

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. ....10/611,575  
Filing Date .....7/1/2003  
Confirmation No. ....5173  
Inventorship ..... John S Holmes  
Applicant ..... Microsoft Corporation  
Group Art Unit .....2154  
Examiner .....  
Attorney's Docket No. ....MS11526US  
Title: Transport System for Instant Messaging

**INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 CFR 1.97(e)**

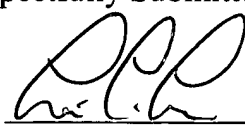
The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Furthermore, each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

Respectfully Submitted,

Date: Dec. 3, 2004

By:   
Lewis C. Lee  
Reg. No. 34,656



EV554746911

PTO/SB/21 (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/611,575
	Filing Date	7/1/2003
	First Named Inventor	John S Holmes
	Group Art Unit	2154
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	MS1-1526US

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ): Form PTO-1449; and 3 cited references Return Post Card
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	Lewis C. Lee/Reg. No. 34,656
Signature	
Date	12/3/04

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	LeAnn M. Sassman	
Signature		Date 12/3/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EV 554746911

PTO/SB/17 (10-04)

Approved for use through 07/31/2006. OMB 0651-0032  
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**FEE TRANSMITTAL  
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**0****Complete if Known**Application Number **10/611,575**Filing Date **7/1/2003**First Named Inventor **John S Holmes**

Examiner Name

Art Unit **2154**Attorney Docket No. **MS1-1526US****METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None  
Order☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name**12-0769****Lee & Hayes, PLLC**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1001	790	2001	395	Utility filing fee
1002	350	2002	175	Design filing fee
1003	550	2003	275	Plant filing fee
1004	790	2004	395	Reissue filing fee
1005	160	2005	80	Provisional filing fee

Fee Paid

**SUBTOTAL (1)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20 =	x 18	=
Indep. Claims	-3 =	x 88	=

Multiple Dependent

=

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

1202 18 2202 9

1201 88 2201 44

1203 300 2203 150

1204 88 2204 44

1205 18 2205 9

Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

\*\* Reissue independent claims  
over original patent\*\* Reissue claims in excess of 20  
and over original patent**SUBTOTAL (2) \$**

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

1051 130 2051 65

1052 50 2052 25

1053 130 1053 130

1812 2,520 1812 2,520

1804 920\* 1804 920\*

1805 1,840\* 1805 1,840\*

1251 110 2251 55

1252 430 2252 215

1253 980 2253 490

1254 1,530 2254 765

1255 2,080 2255 1,040

1401 340 2401 170

1402 340 2402 170

1403 300 2403 150

1451 1,510 1451 1,510

1452 110 2452 55

1453 1,330 2453 665

1501 1,370 2501 685

1502 490 2502 245

1503 660 2503 330

1460 130 1460 130

1807 50 1807 50

1806 180 1806 180

8021 40 8021 40

1809 790 2809 395

1810 790 2810 395

1801 790 2801 395

1802 900 1802 900

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

Fee Paid

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)

**Lewis C. Lee**Registration No.  
(Attorney/Agent)**34,656**

Telephone

**509-324-9256**

Signature

Date

**Dec. 3, 2004****WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/611,575
				Filing Date	7/1/2003
				First Named Inventor	John S Holmes
				Group Art Unit	2154
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	MS1-1526US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Campbell B. et al. "Instant Message Sessions in SIMPLE", Internet-Draft, 30 June 2003 (2003-06-03).	
		Handley, M. "Germ: Generic RTP Multiplexing" Internet Engineering Task Force, XX, XX, 1 November 1998 (1998-11-11), pages 1-7.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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